The *Energy Spreading* PONS Transform and its Applications Jim Byrnes Prometheus Inc.

https://prometheus-us.com/PONS-papers/

1

### **Mathematical Features**

- Hadamard matrix
- quadrature mirror filter
- low crest factor array; every row of every PONS matrix has the uniform crest factor  $\sqrt{2}$
- yields optimal uncertainty principle bounds
- excellent correlation properties

### Mathematical Features II

- Each row of every PONS matrix is an energy spreading second order Reed-Muller codeword
- unlike the Walsh-Hadamard matrices, all PONS matrices are symmetric and all even-size PONS matrices have equal row (hence column) sums

## Why Spread Energy?

- Covert transmission signals appear as white noise
- Naturally low probability of intercept, low probability of detection, anti-jam (LPI/LPD/AJ) waveforms
- Robust transmission gradual signal degradation when corrupted
- Signal can face extreme interference and usable data can still be reconstructed

## **Computational Behavior**

- fast transform: 2log<sub>2</sub>n operations per sample for window size n
- integer computations; no floating point operations
- in-place algorithm
- highly parallelizable
- FPGA built with minimal # of gates
- Algorithms operate to compress and decompress signals in real time

**Theorem** (H.S. Shapiro — corollary to a compactness result of Kolmogorov):

The following is impossible:  $\mathcal{F} \subset L^2(\mathbf{R})$  an infinite orthonormal set,  $p > \frac{1}{2}$ , and  $\forall f \in \mathcal{F}$ 

(\*) 
$$|f(x)| < \frac{C_1}{(1+|x|)^p}, |\hat{f}(\xi)| < \frac{C_2}{(1+|\xi|)^p}$$

#### Theorem

$$\exists \text{ a CONS } \{\phi_n\} \text{ for } C[-\pi,\pi] \text{ such that}$$
  
1)  $\forall n, x, \ \phi_n(x) \text{ takes on only } \pm 1$   
2)  $\left| \int_{-\pi}^{\pi} \phi_n(x) e^{-ix\xi} dx \right| \leq \frac{18}{\sqrt{\pi}\sqrt{1+|\xi|}}$ 

**Corollary** (a Global Uncertainty Principle)  $\exists$  a CONS *S* for  $L^2(\mathbf{R})$  such that (\*) with  $p = \frac{1}{2}$  is satisfied for all  $f \in S$ 

#### **Shapiro Polynomials**

$$P_{0}(z) = Q_{0}(z) = 1$$

$$P_{n+1}(z) = P_{n}(z) + z^{2^{n}}Q_{n}(z)$$

$$Q_{n+1}(z) = P_{n}(z) - z^{2^{n}}Q_{n}(z)$$

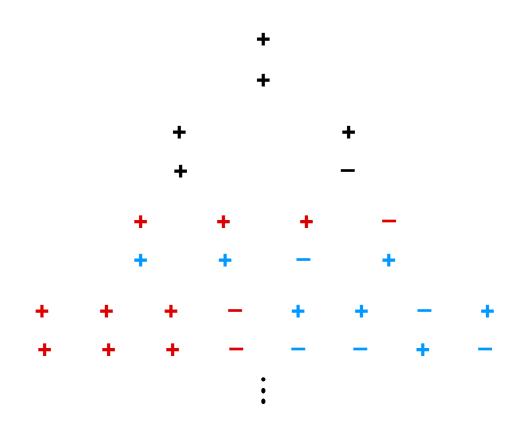
 $P_n$  and  $Q_n$  are polynomials of degree  $2^n$  -1 with coefficients ±1.

#### **Properties of Shapiro Polynomials**

For 
$$|z|=1$$
,  $|P_{n+1}(z)|^2 + |Q_{n+1}(z)|^2$   
=  $2(|P_n(z)|^2 + |Q_n(z)|)^2$   
=  $2^{n+2}$   
 $|P_n(z)| \le \sqrt{2}\sqrt{2^n}$  or  $||P_n||_{\infty} \le \sqrt{2}||P_n||_{L^2}$   
(same for  $Q_n$ )

This choice of ±1's gives an excellent bound ( $\sqrt{2}$ ) for the "peak factor" (peak-to-average ratio), thereby spreading the "energy" of these polynomials almost equally around the unit circle.

### **Shapiro Sequences**



Three ways of thinking of these sequences:

- (a) sequences of  $\pm 1$ 's of length  $2^n$
- (b) coefficients of polynomials
- (c) values of piecewise constant functions on  $[-\pi,\pi]$

Note:  $P_n$  and  $Q_n$  are orthogonal in the sense of (c)

# Shapiro Sequences are Incomplete

Want:

A collection of functions of type (c) which form a basis for length  $2^n$  digital signals.

Have:

2 such functions for each n

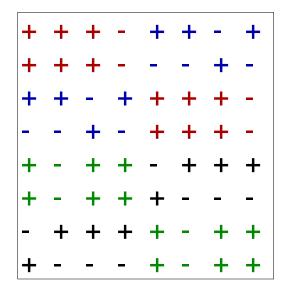
Need:

2<sup>n</sup> such functions for each n

### **PONS Sequences**



+	+	+	-
+	+	-	+
+	-	+	+
-	+	+	+



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# **Mathematical Properties**

$$P(z) = \sum_{k=0}^{2^{n}-1} \varepsilon_{k} z^{k}, \quad z = e^{it}, \quad \{\varepsilon_{k}\} \text{ a PONS sequence}$$

$$|P(z)| \leq \sqrt{2}\sqrt{2^{n}}$$

$$P(z)P(1/z) + P(-z)P(-1/z) \equiv 2^{n+1}$$

$$\left| \int_{-\pi}^{\pi} P(e^{it})e^{-i\omega t} dt \right| \leq \frac{18}{\sqrt{\pi}\sqrt{1+|\omega|}}, \quad \omega \in \mathbf{R}$$

# Walsh Functions

The Walsh functions are

- piecewise constant
- take on only the values ±1
- form a basis

These properties make the Walsh functions useful in

- signal processing
- digital filtering
- communications
- coding

This usefulness is hampered because

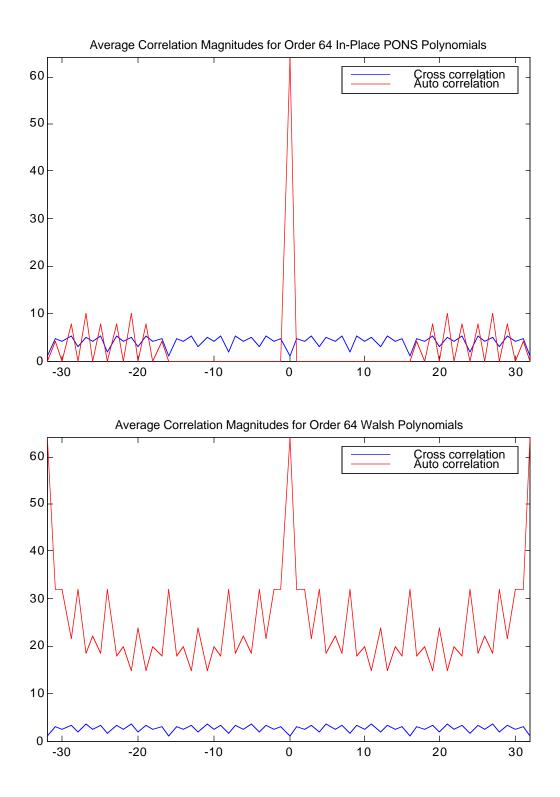
- Walsh polynomials have the worst possible crest factor
- they are not quadrature mirror filters
- their Fourier transforms decay as slowly as possible

### **PONS = Walsh+**

PONS satisfies all useful Walsh properties *plus* 

- PONS polynomials have uniformly low crest factor
- they *are* quadrature mirror filters
- PONS Fourier transforms decay as *quickly* as possible
- they are optimal w.r.t. the Global Uncertainty Principle

### **Average Correlation Magnitudes**



# **Energy Spreading in Mathematical Terms**

A an  $N \times N$  Hadamard matrix, *x* a discrete signal of length *N*,  $\|\bullet\|_{\infty}$  the sup norm,  $\|\bullet\|_{ME}$  the Beurling minimal extrapolation norm, *S* a set of such *x*'s.

**Trivial bound** :  $||Ax||_{\infty} \leq \sqrt{N} ||x||_{\infty}$  for all x

**Claim**: If, for some constant *M* not too much larger than 1,  $||Ax||_{\infty} \le M ||x||_{\infty}$  for all  $x \in S$ , then *A* gives good energy spreading on *S*. **Theorem**: If *A* is a PONS matrix, then  $||Ax||_{\infty} \leq \sqrt{2} ||x||_{ME}$  for all *x*.

Example: x = [x' | x''], k + l = N, A PONS  $x' = [a \cos(\omega_1 + b), ..., a \cos(k\omega_1 + b)]$   $x'' = [c \cos(\omega_2 + d), ..., c \cos(l\omega_2 + d)]$ Then  $||Ax||_{\infty} \le 3\sqrt{6/5} \sqrt{a^2 + c^2}$