

Seminar on Analysis, Differential Equations and Mathematical Physics

# Schrödinger equation with finitely many $\delta$ -interactions: closed form, integral and series representations for solutions

Víctor Alfonso Vicente Benítez Posdoctoral Research CONAHCYT, IMUNAM Juriquilla Join work with Vladislav V. Kravchenko (CINVESTAV-Querétaro)

Juriquilla, Querétaro March 20th, 2025

V. A. Vicente Benítez

#### Introduction

In this talk, we consider the 1D Schrödinger equation of the form

$$-y'' + \left(q(x) + \sum_{k=1}^{N} \alpha_k \delta(x - x_k)\right) y = \lambda y, \quad 0 < x < b, \ \lambda \in \mathbb{C}, \ (1)$$

where

•  $q \in L_2(0, b)$  is a complex valued function.

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- $q \in L_2(0, b)$  is a complex valued function.
- $\delta(x)$  is the Dirac delta distribution.
- $0 < x_1 < x_2 < \cdots < x_N < b$  and  $\alpha_1, \ldots, \alpha_N \in \mathbb{C} \setminus \{0\}$  are the *point interactions*.

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- Eq. (1) can be interpreted as a regular equation, i.e., with the regular potential  $q \in L_2(0, b)$ , whose solutions are continuous and such that their first derivatives satisfy the jump condition  $y'(x_k+) y'(x_k-) = \alpha_k y(x_k)$  at special points:

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- Another approach consists in considering the interval [0, b] as a quantum graph whose edges are the segments  $[x_k, x_{k+1}]$ ,  $k = 0, \ldots, N$ , (setting  $x_0 = 0$ ,  $x_{N+1} = b$ ), and the Schrödinger operator with the regular potential q as an unbounded operator on the direct sum  $\bigoplus_{k=0}^{N} H^2(x_k, x_{k+1})$ , with the domain given by the families  $(y_k)_{k=0}^{N}$  that satisfy the condition of continuity  $y_k(x_k-) = y_{k+1}(x_k+)$  and the jump condition for the derivative  $y'_{k+1}(x_k+) y'_k(x_k-) = \alpha_k y_k(x_k)$  for  $k = 1, \ldots N$ :
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- For this, note that the potential q(x) + Σ<sup>N</sup><sub>k=1</sub> α<sub>k</sub>δ(x x<sub>k</sub>) defines a functional that belongs to the Sobolev space H<sup>-1</sup>(0, b). These forms of regularization have been studied, rewriting the operator by means of a factorization that involves a primitive σ of the potential.

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- V. BARRERA-FIGUEROA, A power series analysis of bound and resonance states of one-dimensional Schrödinger operators with finite point interactions, Applied Mathematics and Computation,

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q<sub>δ,ℑ<sub>N</sub></sub>(x) := ∑<sub>k=1</sub><sup>N</sup> α<sub>k</sub>δ(x - x<sub>k</sub>) (the distributional part of the potential).
L<sub>q</sub> := -<sup>d<sup>2</sup></sup>/<sub>dx<sup>2</sup></sub> + q(x) (the regular Schrödinger operator).

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- $\mathbf{L}_q := -\frac{d^2}{dx^2} + q(x)$  (the *regular* Schrödinger operator).
- $\mathbf{L}_{q,\mathfrak{I}_N} := \mathbf{L}_q + q_{\delta,\mathfrak{I}_N}(x)$ .
- $\mathscr{D}(0,b) = C_0^{\infty}(0,b)$  (test functions),  $\mathscr{D}'(0,b)$  (distributions),  $H^k(0,b) = W^{k,2}(0,b), H_0^1(0,b) = W_0^{1,2}(0,b) = \overline{\mathscr{D}(0,b)}^{H^1},$  $H^{-1}(0,b) = (H_0^1(0,b))'.$

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• For  $u\in L_{2,loc}(0,b),$   $\mathbf{L}_{q,\Im_N}u$  defines a distribution in  $\mathscr{D}'(0,b)$  as follows

$$(\mathbf{L}_{q,\mathfrak{I}_N}u,\phi)_{C_0^{\infty}(0,b)} := \int_0^b u(x)\mathbf{L}_q\phi(x)dx + \sum_{k=1}^N \alpha_k u(x_k)\phi(x_k).$$

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• For  $u \in L_{2,loc}(0,b)$ ,  $\mathbf{L}_{q,\Im_N} u$  defines a distribution in  $\mathscr{D}'(0,b)$  as follows

$$(\mathbf{L}_{q,\mathfrak{I}_N}u,\phi)_{C_0^\infty(0,b)} := \int_0^b u(x)\mathbf{L}_q\phi(x)dx + \sum_{k=1}^N \alpha_k u(x_k)\phi(x_k).$$

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- The function u must be well defined at the points  $x_k$ ,  $k = 1, \ldots, N$ .
- When  $u \in H^1(0,b)$ , the distribution  $\mathbf{L}_{q,\Im_N} u$  can be extended to a functional in  $H^{-1}(0,b)$  as follows

$$(\mathbf{L}_{q,\mathfrak{I}_{N}}u,v)_{H_{0}^{1}(0,b)} := \int_{0}^{b} \{u'(x)v'(x) + q(x)u(x)v(x)\}dx + \sum_{k=1}^{N} \alpha_{k}u(x_{k})v(x_{k}).$$

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•  $F \in \mathscr{D}'(0,b)$  is  $L_2$ -regular if there exists  $g \in L_2(0,b)$  such that  $(F,\phi)_{C_0^{\infty}(0,b)} = \int_0^b g\phi.$ 

#### Proposition

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If  $u \in L_{2,loc}(0,b)$ , then the distribution  $\mathbf{L}_{q,\Im_N}u$  is  $L_2$ -regular iff the following conditions hold.

**1** For each 
$$k = 0, ..., N$$
,  $u|_{(x_k, x_{k+1})} \in H^2(x_k, x_{k+1})$ .

**2** 
$$u \in AC[0, b].$$

The discontinuities of the derivative u' are located at the points x<sub>k</sub>, k = 1,..., N, and the jumps are given by

$$u'(x_k+) - u'(x_k-) = \alpha_j u(x_k)$$
 for  $k = 1, \cdots, N.$  (2)

In such case,

$$(\mathbf{L}_{q,\Im_N} u, \phi)_{C_0^{\infty}(0,b)} = (\mathbf{L}_q u, \phi)_{C_0^{\infty}(0,b)} \text{ for all } \phi \in C_0^{\infty}(0,b).$$
(3)

# Closed form solution

• The  $L_2$ -regularization domain of  $\mathbf{L}_{q,\mathfrak{I}_N}$ , denoted by  $\mathcal{D}_2(\mathbf{L}_{q,\mathfrak{I}_N})$ , is the set of all functions  $u \in L_{2,loc}(0,b)$  satisfying conditions 1,2 and 3 of the previous proposition.

# Closed form solution

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- A function  $u \in L_{2,loc}(0, b)$  is a solution of Eq. (1) iff  $u \in \mathcal{D}_2(\mathbf{L}_{q,\mathfrak{I}_N})$  and for each  $k = 0, \ldots, N$ , the restriction  $u|_{(x_k, x_{k+1})}$  is a solution of the regular Schrödinger equation

 $-y''(x) + q(x)y(x) = \lambda y(x) \quad \text{for } x_k < x < x_{k+1}.$  (4)
## Closed form solution

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- In what follows, denote  $\lambda = \rho^2$ ,  $\rho \in \mathbb{C}$ .

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(4)

# Closed form solution

- The  $L_2$ -regularization domain of  $\mathbf{L}_{q,\mathfrak{I}_N}$ , denoted by  $\mathcal{D}_2(\mathbf{L}_{q,\mathfrak{I}_N})$ , is the set of all functions  $u \in L_{2,loc}(0,b)$  satisfying conditions 1,2 and 3 of the previous proposition.
- A function u ∈ L<sub>2,loc</sub>(0, b) is a solution of Eq. (1) iff u ∈ D<sub>2</sub> (L<sub>q,ℑ<sub>N</sub></sub>) and for each k = 0,..., N, the restriction u|<sub>(xk,xk+1)</sub> is a solution of the regular Schrödinger equation - y''(x) + q(x)y(x) = λy(x) for x<sub>k</sub> < x < x<sub>k+1</sub>.
- In what follows, denote  $\lambda = \rho^2$ ,  $\rho \in \mathbb{C}$ .
- Let  $\widehat{s}_k(
  ho,x)$  be the unique solution of the Cauchy problem

 $\begin{cases} -\widehat{s}_k''(\rho, x) + q(x + x_k)\widehat{s}_k(\rho, x) = \rho^2 \widehat{s}_k(\rho, x), & 0 < x < b - x_k, \\ \widehat{s}_k(\rho, 0) = 0, \ \widehat{s}_k'(\rho, 0) = 1. \end{cases}$ 

(4)

•  $\hat{s}_k(\rho, x - x_k)$  is the solution of  $\mathbf{L}_q u = \rho^2 u$  on  $(x_k, b)$  with initial conditions  $u(x_k) = 0$ ,  $u'(x_k) = 1$ .

<sup>1</sup>See Ch. 3 of V. S. VLADIMIROV, *Equations of Mathematical Physics*. New York: Marcel Dekker; 1971.

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- We denote by  $\mathcal{J}_N$  the set of finite sequences  $J = (j_1, \ldots, j_l)$ with  $1 < l \leq N$ ,  $\{j_1, \ldots, j_l\} \subset \{1, \ldots, N\}$  and  $j_1 < \cdots < j_l$ .

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- Given  $J \in \mathcal{J}_N$ , the length of J is denoted by |J| and we define  $\alpha_J := \alpha_{j_1} \cdots \alpha_{j_{|J|}}$ .

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- $\chi_A$  denotes the characteristic function of the interval [-A, A].

#### Theorem

Given  $u_0, u_1 \in \mathbb{C}$ , the unique solution  $u_{\mathfrak{I}_N} \in \mathcal{D}_2(\mathbf{L}_{q,\mathfrak{I}_N})$  of the Cauchy problem

$$\begin{cases} \mathbf{L}_{q, \Im_N} u(x) = \lambda u(x), & 0 < x < b, \\ u(0) = u_0, \ u'(0) = u_1. \end{cases}$$

has the form

$$u_{\Im_N}(\rho, x) = \widetilde{u}(\rho, x) + \sum_{k=1}^N \alpha_k \widetilde{u}(\rho, x_k) H(x - x_k) \widehat{s}_k(\rho, x - x_k)$$
$$- \sum_{J \in \mathcal{J}_N} \alpha_J H(x - x_{j_{|J|}}) \widetilde{u}(\rho, x_{j_1}) \left( \prod_{l=1}^{|J|-1} \widehat{s}_{j_l}(\rho, x_{j_{l+1}} - x_{j_l}) \right) \widehat{s}_{j_{|J|}}(\rho, x - x_{j_{|J|}})$$

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where  $\widetilde{u}(\rho, x)$  is the unique solution of the regular Schrödinger equation

$$\mathbf{L}_q \widetilde{u}(\rho, x) = \rho^2 \widetilde{u}(\rho, x), \quad 0 < x < b,$$

satisfying the initial conditions  $\widetilde{u}(\rho,0) = u_0, \ \widetilde{u}'(\rho,0) = u_1.$ 

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#### Example

Denote by  $e_{\mathcal{I}_N}^0(\rho, x)$  the unique solution of

$$-y'' + \left(\sum_{k=1}^{N} \alpha_k \delta(x - x_k)\right) y = \rho^2 y, \quad 0 < x < b$$

satisfying  $e_{\mathcal{I}_N}^0(\rho, 0) = 1$ ,  $(e_{\mathcal{I}_N}^0)'(\rho, 0) = i\rho$ . In this case we have  $\widehat{s}_k(\rho, x) = \frac{\sin(\rho x)}{\rho}$  for  $k = 1, \ldots, N$ . Hence, the solution  $e_{\mathcal{I}_N}^0(\rho, x)$  has the form

$$e_{\mathcal{I}_N}^0(\rho, x) = e^{i\rho x} + \sum_{k=1}^N \alpha_k e^{i\rho x_k} H(x - x_k) \frac{\sin(\rho(x - x_k))}{\rho} + \sum_{J \in \mathcal{J}_N} \alpha_J H(x - x_{j_{|J|}}) e^{i\rho x_{j_1}} \left( \prod_{l=1}^{|J|-1} \frac{\sin(\rho(x_{j_{l+1}} - x_{j_l}))}{\rho} \right) \frac{\sin(\rho(x - x_{j_{|J|}}))}{\rho}.$$

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## Transmutation operators

• Let  $h \in \mathbb{C}$ . Denote by  $\tilde{e}_h(\rho, x)$  the unique solution of the regular equation satisfying  $\tilde{e}_h(\rho, 0) = 1$ ,  $\tilde{e}'_h(\rho, 0) = i\rho + h$ .

<sup>2</sup>V. A. MARCHENKO, Sturm-Liouville operators and applications, Birkhäuser, Basel. 1986. March 20, 2025 17 / 50

## Transmutation operators

- Let  $h \in \mathbb{C}$ . Denote by  $\tilde{e}_h(\rho, x)$  the unique solution of the regular equation satisfying  $\tilde{e}_h(\rho, 0) = 1$ ,  $\tilde{e}'_h(\rho, 0) = i\rho + h$ .
- There exists a kernel<sup>2</sup>  $\widetilde{K}^h \in C(\overline{\Omega}) \cap H^1(\Omega)$ , where  $\Omega = \{(x, t) \in \mathbb{R}^2 \mid 0 < x < b, |t| < x\}, \text{ such that}$  $\widetilde{K}^{h}(x,x) = \frac{h}{2} + \frac{1}{2} \int_{0}^{x} q(s) ds, \ \widetilde{K}^{h}(x,-x) = \frac{h}{2}$  and

$$\widetilde{e}_h(\rho, x) = e^{i\rho x} + \int_{-x}^x \widetilde{K}^h(x, t) e^{i\rho t} dt$$

<sup>&</sup>lt;sup>2</sup>V. A. MARCHENKO, *Sturm-Liouville operators and applications*, Birkhäuser, Basel, 1986. V. A. Vicente Benítez Vekua March 20, 2025

# • For each $k \in \{1, \ldots, N\}$ there exists a kernel $\widehat{H}_k \in C(\overline{\Omega_k}) \cap H^1(\Omega_k)$ with $\Omega_k = \{(x,t) \in \mathbb{R}^2 \mid 0 < x < b - x_k, \ |t| \leq x\}$ , and $\widehat{H}_k(x,x) = \frac{1}{2} \int_{x_k}^{x+x_k} q(s) ds, \ \widehat{H}_k(x,-x) = 0$ , such that

$$\widehat{s}_k(\rho, x) = \frac{\sin(\rho x)}{\rho} + \int_0^x \widehat{H}_k(x, t) \frac{\sin(\rho t)}{\rho} dt$$

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From this we obtain the representation

$$\widehat{s}_k(\rho, x - x_k) = \int_{-(x - x_k)}^{x - x_k} \widetilde{K}_k(x, t) e^{i\rho t} dt,$$

where

$$\widetilde{K}_k(x,t) = \frac{1}{2}\chi_{x-x_k}(t) + \frac{1}{2}\int_{|t|}^{x-x_k} \widehat{H}_k(x-x_k,s)ds.$$

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• The unique solution  $e^h_{\mathfrak{I}_N}(\rho, x)$  of the eq. with point interactions which satisfies the initial conditions  $e^h_{\mathfrak{I}_N}(\rho, 0) = 1$ ,  $(e^h_{\mathfrak{I}_N})'(\rho, 0) = i\rho + h$  is given by

$$e_{\mathfrak{I}_N}^h(\rho, x) = \tilde{e}_h(\rho, x) + \sum_{k=1}^N \alpha_k \tilde{e}_h(\rho, x_k) H(x - x_k) \hat{s}_k(\rho, x - x_k)$$

$$+\sum_{J\in\mathcal{J}_{N}}\alpha_{J}H(x-x_{j|J|})\widetilde{e}_{h}(\rho,x_{j_{1}})\left(\prod_{l=1}^{|J|-1}\widehat{s}_{j_{l}}(\rho,x_{j_{l+1}}-x_{j_{l}})\right)\widehat{s}_{j|J|}(\rho,x-x_{j_{1}})$$

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#### Theorem

There exists a kernel  $K^h_{\Im_N}(x,t)$  defined on  $\Omega$  such that

$$e^{h}_{\mathfrak{I}_{N}}(\rho, x) = e^{i\rho x} + \int_{-x}^{x} K^{h}_{\mathfrak{I}_{N}}(x, t) e^{i\rho t} dt.$$
(6)

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For any  $0 < x \leq b$ ,  $K_{\mathfrak{J}_N}^h(x,t)$  is piecewise absolutely continuous with respect to the variable  $t \in [-x,x]$  and satisfies  $K_{\mathfrak{J}_N}^h(x,\cdot) \in L_2(-x,x)$ . Furthermore,  $K_{\mathfrak{J}_N}^h \in L_\infty(\Omega)$ .

## The explicit form of the kernel is

$$\begin{split} &K_{\mathcal{I}_{N}}^{h}(x,t) = \chi_{x}(t)\widetilde{K}^{h}(x,t) \\ &+ \sum_{k=1}^{n} \alpha_{k}H(x-x_{k}) \left(\chi_{[2x_{k}-x,x]}(t)\widetilde{K}_{k}(x,t-x_{k}) + \chi_{x_{k}}(t)\widetilde{K}^{h}(x_{k},t) * \chi_{x-x_{k}}(t)\widetilde{K}_{k}(x,t)\right) \\ &+ \sum_{J \in \mathcal{J}_{N}} \alpha_{J}H(x-x_{j_{|J|}}) \left(\prod_{l=1}^{|J|-1}\right)^{*} \left(\chi_{x_{j_{l+1}}-x_{j_{l}}}(t)\widetilde{K}_{j_{l}}(x_{j_{l+1}},t)\right) \\ & * \left(\chi_{x-(x_{j_{|J|}}-x_{j_{1}})}(t)\widetilde{K}_{j_{|J|}}(x,t-x_{j_{1}}) + \chi_{x_{j_{1}}}(t)\widetilde{K}^{h}(x_{j_{1}},t) * \chi_{x-x_{j_{|J|}}}(t)\widetilde{K}_{j_{|J|}}(x,t)\right). \end{split}$$

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#### Example

Consider the equation  $-y'' + \alpha_1 \delta(x - x_1)y = \rho^2 y$ . In this case the solution  $e^0_{\mathcal{I}_1}(\rho, x)$  is given by

$$e_{\mathfrak{I}_1}^0(\rho, x) = e^{i\rho x} + \alpha_1 e^{i\rho x_1} H(x - x_1) \frac{\sin(\rho(x - x_1))}{\rho}.$$

#### We have

$$e^{i\rho x_1} \frac{\sin(\rho(x-x_1))}{\rho} = \frac{1}{2} \int_{x_1-x}^{x-x_1} e^{i\rho(t+x_1)} dt = \frac{1}{2} \int_{2x_1-x}^{x} e^{i\rho t} dt.$$

Hence  $e^0_{\Im_1}(\rho,x)=e^{i\rho x}+\int_{-x}^x K^0_{\Im_1}(x,t)e^{i\rho t}dt$  with

$$K_{\mathfrak{I}_1}^0(x,t) = \frac{\alpha_1}{2} H(x-x_1) \chi_{[2x_1-x,x]}(t).$$

## Example

Now we consider the equation with two interactions  $\Im_2=\{(\alpha_1,x_1),(\alpha_2,x_2)\}.$  In this case, the solution  $e^0_{\Im_2}(\rho,x)$  has the form

$$e_{\mathfrak{I}_{2}}^{0}(\rho,x) = e^{i\rho x} + \alpha_{1}e^{i\rho x_{1}}H(x-x_{1})\frac{\sin(\rho(x-x_{1}))}{\rho} + \alpha_{2}e^{i\rho x_{2}}H(x-x_{2})\frac{\sin(\rho(x-x_{1}))}{\rho} + \alpha_{1}\alpha_{2}e^{i\rho x_{1}}H(x-x_{2})\frac{\sin(\rho(x_{2}-x_{1}))}{\rho}\frac{\sin(\rho(x-x_{2}))}{\rho},$$

and the transmutation kernel  $K^0_{\Im_2}(x,t)$  has the form

$$K_{\mathfrak{I}_{2}}^{0}(x,t) = \frac{\alpha_{1}H(x-x_{1})}{2}\chi_{[2x_{1}-x,x]}(t) + \frac{\alpha_{2}H(x-x_{2})}{2}\chi_{[2x_{1}-x,x]}(t) + \frac{\alpha_{1}\alpha_{2}H(x-x_{2})}{4}(\chi_{x_{2}-x_{1}}*\chi_{x-x_{2}})(t-x_{1}).$$

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Direct computation shows that

$$\chi_{x_2-x_1} * \chi_{x-x_2}(t-x_1) = \begin{cases} 0, & t \notin [2x_1-x,x], \\ t+x-2x_1, & 2x_1-x < t < -|2x_2-x-x_1| + x_1, \\ x-x_1-|2x_2-x-x_1|, & -|2x_2-x-x_1| + x_1 < t < |2x_2-x-x_1| + x_1 \\ x-t, & |2x_2-x-x_1| + x_1 < t < x. \end{cases}$$

In the next figure, we can see some level curves of the kernel  $K^0_{\Im_2}(x,t)$  (as a function of t),  $\Im_2 = \{(0,25,1), (0,75,2)\}$ , for some values of x.

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#### Proposition

The integral transmutation kernel  $K^h_{\Im_N}$  satisfies the following Goursat conditions for  $x\in[0,b]$ 

$$K^{h}_{\mathfrak{J}_{N}}(x,x) = \frac{1}{2} \left( h + \int_{0}^{x} q(s)ds + \sigma_{\mathfrak{I}_{N}}(x) \right) \quad \text{and} \quad K^{h}_{\mathfrak{I}_{N}}(x,-x) = \frac{h}{2},$$
(7)

where

$$\sigma_{\mathfrak{I}_N}(x) := \sum_{k=1}^N \alpha_k H(x - x_k).$$

Thus,  $2K_{\Im_N}^h(x,x)$  is a (distributional) antiderivative of the potential  $q(x) + q_{\delta,\Im_N}(x)$ .

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Let  $c^h_{\Im_N}(\rho, x)$  and  $s_{\Im_N}(\rho, x)$  be the solutions of Eq. (1) satisfying the initial conditions

$$\begin{split} c_{\mathfrak{I}_{N}}^{h}(\rho,0) &= 1, \quad (c_{\mathfrak{I}_{N}}^{h})'(\rho,0) = h, \\ s_{\mathfrak{I}_{N}}(\rho,0) &= 0, \quad s_{\mathfrak{I}_{N}}'(\rho,0) = 1. \end{split}$$
Note that  $c_{\mathfrak{I}_{N}}^{h}(\rho,x) &= \frac{e_{\mathfrak{I}_{N}}^{h}(\rho,x) + e_{\mathfrak{I}_{N}}^{h}(-\rho,x)}{2} \text{ and } \\ s_{\mathfrak{I}_{N}}(\rho,x) &= \frac{e_{\mathfrak{I}_{N}}^{h}(\rho,x) - e_{\mathfrak{I}_{N}}^{h}(-\rho,x)}{2i\rho}. \text{ Hence} \\ c_{\mathfrak{I}_{N}}^{h}(\rho,x) &= \cos(\rho x) + \int_{0}^{x} G_{\mathfrak{I}_{N}}^{h}(x,t)\cos(\rho t)dt, \\ s_{\mathfrak{I}_{N}}(\rho,x) &= \frac{\sin(\rho x)}{\rho} + \int_{0}^{x} S_{\mathfrak{I}_{N}}(x,t)\frac{\sin(\rho t)}{\rho}dt, \end{split}$ 

where

$$G^{h}_{\mathfrak{I}_{N}}(x,t) = K^{h}_{\mathfrak{I}_{N}}(x,t) + K^{h}_{\mathfrak{I}_{N}}(x,-t),$$
  

$$S_{\mathfrak{I}_{N}}(x,t) = K^{h}_{\mathfrak{I}_{N}}(x,t) - K^{h}_{\mathfrak{I}_{N}}(x,-t).$$

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## The SPPS Method

• Let  $f \in \mathcal{D}_2(\mathbf{L}_{q,\mathfrak{I}_N})$  be a nonvanishing solution of equation  $\mathbf{L}_{q,\mathfrak{I}_N} f = 0.$ 

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## The SPPS Method

- Let  $f \in \mathcal{D}_2(\mathbf{L}_{q,\mathfrak{I}_N})$  be a nonvanishing solution of equation  $\mathbf{L}_{q,\mathfrak{I}_N} f = 0.$
- We define the following recursive integrals:  $\widetilde{X}^{(0)}\equiv X^{(0)}\equiv 1$  , and for  $k\in\mathbb{N}$

$$\widetilde{X}^{(k)}(x) := k \int_0^x \widetilde{X}^{(k-1)}(s) \left(f^2(s)\right)^{(-1)^{k-1}} ds, \qquad (8)$$
$$X^{(k)}(x) := k \int_0^x X^{(k-1)}(s) \left(f^2(s)\right)^{(-1)^k} ds. \qquad (9)$$

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$$X^{(k)}(x) := k \int_0^x X^{(k-1)}(s) \left(f^2(s)\right)^{(-1)^k} ds.$$
(9)

• The functions  $\{\varphi_{f}^{(k)}(x)\}_{k=0}^{\infty}$  defined by

$$\varphi_f^{(k)}(x) := \begin{cases} f(x)\widetilde{X}^{(k)}(x), & \text{if } k \text{ even}, \\ f(x)X^{(k)}(x), & \text{if } k \text{ odd}. \end{cases}$$
(10)

for  $k \in \mathbb{N}_0$ , are called the *formal powers* associated to f.

#### Theorem (SPPS method)

## The functions

$$u_0(\rho, x) = \sum_{k=0}^{\infty} \frac{(-1)^k \rho^{2k} \varphi_f^{(2k)}(x)}{(2k)!}, \quad u_1(\rho, x) = \sum_{k=0}^{\infty} \frac{(-1)^k \rho^{2k} \varphi_f^{(2k+1)}(x)}{(2k+1)!}$$

belong to  $\mathcal{D}_2(\mathbf{L}_{q,\mathfrak{I}_N})$ , and  $\{u_0(\rho, x), u_1(\rho, x)\}$  is a fundamental set of solutions for the equation with point interactions, satisfying the initial conditions

$$u_0(\rho, 0) = f(0), u'_0(\rho, 0) = f'(0),$$
(11)

$$u_1(\rho, 0) = 0, u_1'(\rho, 0) = \frac{1}{f(0)},$$
 (12)

The series converge absolutely and uniformly on  $x \in [0, b]$ , the series of the derivatives converge in  $L_2(0,b)$  and the series of the second derivatives converge in  $L_2(x_i, x_{i+1})$ ,  $j = 0, \dots, N$ . 29 / 50

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With respect to  $\rho$  the series converge absolutely and uniformly on any compact subset of the complex  $\rho$ -plane.

• The proof of the convergence is given by the estimates of the form

 $|\widetilde{X}^{(n)}(x)|\leqslant M_1^nb^n,\; |X^{(n)}(x)|\leqslant M_1^nb^n\quad \text{for all }x\in[0,b],$ 

and the relations for the derivatives:

$$D\varphi_{f}^{(k)} = \frac{f'}{f}\varphi_{f}^{(k)} + k\varphi_{\frac{1}{f}}^{(k-1)}$$
$$D^{2}\varphi_{f}^{(k)} = \frac{f''}{f}\varphi_{f}^{(k)} + k(k-1)\varphi_{f}^{(k-2)}$$

• The formal powers satisfy the conditions

$$\mathbf{L}_{q,\mathfrak{I}_{N}}\varphi_{f}^{(k)} = 0, \ k = 0, 1, \ \text{and} \ \mathbf{L}_{q,\mathfrak{I}_{N}}\varphi_{f}^{(k)} = -k(k-1)\varphi_{f}^{(k-2)}, \ k \ge 2,$$
  
that is,  $\{\varphi_{f}^{(k)}\}_{k=0}^{\infty}$  is an  $-\mathbf{L}_{q,\mathfrak{I}_{N}}$ -base.

#### Proposition

Let  $\{u, v\} \in \mathcal{D}_2(\mathbf{L}_{q,\mathfrak{I}_N})$  be a fundamental set of solutions for (1). Then there exist constants  $c_1, c_2 \in \mathbb{C}$  such that the solution  $f = c_1 u + c_2 v$  does not vanish in the whole segment [0, b].

Consequently, there exists a pair of constants  $(c_1, c_2) \in \mathbb{C}^2 \setminus \{(0, 0)\}$  such that

$$y_0(x) = c_1 + c_2 x + \sum_{k=1}^N \alpha_k (c_1 + c_2 x_k) H(x - x_k) (x - x_k) + \sum_{J \in \mathcal{J}_N} \alpha_J (c_1 + c_2 x_{j_1}) H(x - x_{j_{|J|}}) \left( \prod_{l=1}^{|J|-1} (x_{j_{l+1}} - x_{j_1}) \right) (x - x_{j_{|J|}})$$

is a non-vanishing solution of the the equation with purely distributional potential for  $\rho = 0$  (if  $\alpha_1, \ldots, \alpha_k \in (0, \infty)$ ), it is enough with choosing  $c_1 = 1$ ,  $c_2 = 0$ ).

#### Theorem

Define the recursive integrals  $\{Y^{(k)}\}_{k=0}^{\infty}$  and  $\{\tilde{Y}^{(k)}\}_{k=0}^{\infty}$  as follows:  $Y^{(0)} \equiv \tilde{Y}^{(0)} \equiv 1$ , and for  $k \ge 1$ 

$$Y^{(k)}(x) = \begin{cases} \int_0^x Y^{(k)}(s)q(s)y_0^2(s)ds, & \text{if } k \text{ is even}, \\ \int_0^x \frac{Y^{(k)}(s)}{y_0^2(s)}ds, & \text{if } k \text{ is odd}, \end{cases}$$
(13)  
$$\tilde{Y}^{(k)}(x) = \begin{cases} \int_0^x \tilde{Y}^{(k)}(s)q(s)y_0^2(s)ds, & \text{if } k \text{ is odd}, \\ \int_0^x \frac{\tilde{Y}^{(k)}(s)}{y_0^2(s)}ds, & \text{if } k \text{ is even}. \end{cases}$$
(14)

### Define

$$f_0(x) = y_0(x) \sum_{k=0}^{\infty} \tilde{Y}^{(2k)}(x), \qquad f_1(x) = y_0(x) \sum_{k=0}^{\infty} Y^{(2k+1)}(x).$$
(15)

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Then  $\{f_0, f_1\} \subset \mathcal{D}_2(\mathbf{L}_{q,\mathfrak{I}_N})$  is a fundamental set of solution for  $\mathbf{L}_{q,\mathfrak{I}_N}u = 0$  satisfying the initial conditions  $f_0(0) = c_1$ ,  $f_0'(0) = c_2$ ,  $f_1(0) = 0$ ,  $f_1'(0) = 1$ . Both series converge uniformly and absolutely on  $x \in [0, b]$ . The series of the derivatives converge in  $L_2(0, b)$ , and on each interval  $[x_j, x_{j+1}]$ ,  $j = 0, \ldots, N$ , the series of the second derivatives converge in  $L_2(x_j, x_{j+1})$ . Hence there exist constants  $C_1, C_2 \in \mathbb{C}$  such that  $f = C_1 f_0 + C_2 f_1$  is a non-vanishing solution of  $\mathbf{L}_{q,\mathfrak{I}_N}u = 0$  in [0, b].

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• Suppose that f(0) = 1 and set h = f'(0).

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e<sup>f</sup><sub>ℑ<sub>N</sub></sub>(ρ, x) = T<sup>f</sup><sub>ℑ<sub>N</sub></sub>[e<sup>iρx</sup>].

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## Transmutation property

- Suppose that f(0) = 1 and set h = f'(0).
- Let  $K_{\Im_N}^f$  be the transmutation kernel associated to h = f'(0) and define the operator

$$\mathbf{T}_{\mathfrak{I}_N}^f u(x) := u(x) + \int_{-x}^x K_{\mathfrak{I}_N}^f(x,t) u(t) dt.$$

- Note that  $\mathbf{T}_{\mathfrak{I}_N}^f \in \mathcal{B}(L_2(-b,b),L_2(0,b)).$
- $e_{\mathfrak{I}_N}^f(\rho, x) = \mathbf{T}_{\mathfrak{I}_N}^f[e^{i\rho x}].$
- By the SPPS method,

$$e^h_{\mathfrak{I}_N}(\rho, x) = \sum_{k=0}^{\infty} \frac{(i\rho)^k \varphi_f^{(k)}(x)}{k!}.$$

• Substituting the Taylor series of the exponential in  $\mathbf{T}_{\mathcal{I}_N}^f[e^{i\rho x}]$  and comparing with the SPPS series we obtain:

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#### Theorem

The transmutation operator  $\mathbf{T}^f_{\mathfrak{I}_N}$  satisfies the following relations

 $\mathbf{T}_{\mathfrak{I}_N}^f \left[ x^k \right] = \varphi_f^{(k)}(x) \qquad \forall k \in \mathbb{N}_0.$ (16)

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• Since  $\{\varphi_f^{(k)}\}_{k=0}^{\infty}$  are an  $-\mathbf{L}_{q,\Im_N}$ -base, by linearity we get the transmutation relation

$$\mathbf{L}_{q,\mathfrak{I}_N}\mathbf{T}^f_{\mathfrak{I}_N}p = -\mathbf{T}_{\mathfrak{I}_N}D^2p$$

for all 
$$p \in \mathcal{P}[-b, b] = \operatorname{Span}\{x^k\}_{k=0}^{\infty}$$
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• Relation  $\mathbf{L}_{q,\mathfrak{I}_N}\mathbf{T}_{\mathfrak{I}_N}^fp=-\mathbf{T}_{\mathfrak{I}_N}D^2$  can be written as

$$\mathbf{T}^{f}_{\mathcal{I}_{N}}p(x) = p(0)\varphi_{f}^{(0)} + p'(0)\varphi_{f}^{(1)}(x) - f(x)\int_{0}^{x}\frac{1}{f^{2}(t)}\int_{0}^{t}f(s)\mathbf{T}^{f}_{\mathcal{I}_{N}}p''(s)dsdt$$

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• Since the operators involved are bounded and  $\mathcal{P}[-b,b]$  is dense in  $H^2(-b,b)$  we get the following result

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#### Theorem

The operator  $\mathbf{T}_{\mathfrak{I}_N}^f$  is a transmutation operator for the pair  $\mathbf{L}_{q,\mathfrak{I}_N}$ ,  $-D^2$  in  $H^2(-b,b)$ , that is,  $\mathbf{T}_{\mathfrak{I}_N}^f(H^2(-b,b)) \subset \mathcal{D}_2(\mathbf{L}_{q,\mathfrak{I}_N})$  and  $\mathbf{L}_{q,\mathfrak{I}_N}\mathbf{T}_{\mathfrak{I}_N}u = -\mathbf{T}_{\mathfrak{I}_N}D^2u \qquad \forall u \in H^2(-b,b)$ 

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## Fourier-Legendre and NSBF expansions

• For  $x \in (0, b]$  fixed,  $K_{\Im_N}^f(x, \cdot) \in L_2(-x, x)$ , hence it admits a Fourier series in the orthonormal basis  $\{P_n\left(\frac{t}{x}\right)\}_{n=0}^{\infty}$ , where  $\{P_n(\tau)\}_{n=0}^{\infty}$  are the Legendre polynomials.

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• Hence 
$$K^h_{\mathfrak{I}_N}(x,t) = \sum_{n=0}^{\infty} \frac{a_n(x)}{x} P_n\left(\frac{t}{x}\right).$$

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• Hence 
$$K^h_{\mathfrak{I}_N}(x,t) = \sum_{n=0}^{\infty} \frac{a_n(x)}{x} P_n\left(\frac{t}{x}\right).$$

• The coefficients are given by

$$a_n(x) = \left(n + \frac{1}{2}\right) \int_{-x}^{x} K^h_{\mathfrak{I}_N}(x, t) P_n\left(\frac{t}{x}\right) dt \qquad \forall n \in \mathbb{N}_0$$

#### Example

Consider the kernel  $K_{\Im_1}^0(x,t) = \frac{\alpha_1}{2}H(x-x_1)\chi_{[2x_1-x,x]}$ . In this case, the Fourier-Legendre coefficients have the form

$$a_n(x) = \frac{\alpha_1}{2} \left( n + \frac{1}{2} \right) H(x - x_1) \int_{2x_1 - x}^x P_n\left(\frac{t}{x}\right) dt$$
  
=  $\frac{\alpha_1}{2} \left( n + \frac{1}{2} \right) x H(x - x_1) \int_{2\frac{x_1}{x} - 1}^1 P_n(t) dt.$ 

From this we obtain  $a_0(x) = \frac{\alpha_1}{2}H(x-x_1)(x-x_1)$ . Using formula  $P_n(t) = \frac{1}{2n+1}\frac{d}{dt}(P_{n+1}(t) - P_{n-1}(t))$  for  $n \in \mathbb{N}$ , and that  $P_n(1) = 0$  for all  $n \in \mathbb{N}$ , we have

$$a_n(x) = \frac{\alpha_1}{4} x H(x - x_1) \left[ P_{n-1} \left( \frac{2x_1}{x} - 1 \right) - P_{n+1} \left( \frac{2x_1}{x} - 1 \right) \right].$$

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• Similar representations can be obtained for the *cosine and sine* kernels:

$$G_{\mathfrak{I}_N}^h(x,t) = \sum_{n=0}^{\infty} \frac{g_n(x)}{x} P_{2n}\left(\frac{t}{x}\right),$$
$$S_{\mathfrak{I}_N}(x,t) = \sum_{n=0}^{\infty} \frac{s_n(x)}{x} P_{2n+1}\left(\frac{t}{x}\right),$$

where the coefficients are given by

$$g_n(x) = 2a_{2n}(x) = (4n+1) \int_0^x G^h_{\mathfrak{I}_N}(x,t) P_{2n}\left(\frac{t}{x}\right) dt,$$
  
$$s_n(x) = 2a_{2n+1} = (4n+3) \int_0^x S_{\mathfrak{I}_N}(x,t) P_{2n+1}\left(\frac{t}{x}\right) dt.$$

V. A. Vicente Benítez

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- For every  $n \in \mathbb{N}_0$  we write the Legendre polynomial  $P_n(z)$  in the form  $P_n(z) = \sum_{k=0}^n l_{k,n} z^k$ .
- Note that if n is even,  $l_{k,n} = 0$  for odd k, and  $P_{2n}(z) = \sum_{k=0}^{n} \tilde{l}_{k,n} z^{2k}$  with  $\tilde{l}_{k,n} = l_{2k,2n}$ .
- Similarly  $P_{2n+1}(z) = \sum_{k=0}^{n} \hat{l}_{k,n} z^{2k+1}$  with  $\hat{l}_{k,n} = l_{2k+1,2n+1}$ .

#### Proposition

The coefficients  $\{a_n(x)\}_{n=0}^{\infty}$  of the Fourier-Legendre expansion of the canonical transmutation kernel  $K_{\mathcal{I}_N}^f(x,t)$  are given by

$$a_n(x) = \left(n + \frac{1}{2}\right) \left(\sum_{k=0}^n l_{k,n} \frac{\varphi_f^{(k)}(x)}{x^k} - 1\right)$$

The coefficients of the canonical cosine and sine kernels satisfy the following relations for all  $n\in\mathbb{N}_0$ 

$$g_n(x) = (4n+1) \left( \sum_{k=0}^n \tilde{l}_{k,n} \frac{\varphi_f^{(2k)}(x)}{x^{2k}} - 1 \right),$$
  
$$s_n(x) = (4n+3) \left( \sum_{k=0}^n \hat{l}_{k,n} \frac{\varphi_f^{(2k+1)}(x)}{x^{2k+1}} - 1 \right)$$

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#### Theorem

The solutions  $c^h_{\Im_N}(\rho, x)$  and  $s_{\Im_N}(\rho, x)$  admit the following NSBF representations

$$c_{\mathfrak{I}_N}^h(\rho, x) = \cos(\rho x) + \sum_{n=0}^{\infty} (-1)^n g_n(x) j_{2n}(\rho x),$$
$$s_{\mathfrak{I}_N}(\rho, x) = \frac{\sin(\rho x)}{\rho} + \frac{1}{\rho} \sum_{n=0}^{\infty} (-1)^n s_n(x) j_{2n+1}(\rho x),$$

where  $j_{\nu}$  stands for the spherical Bessel function  $j_{\nu}(z) = \sqrt{\frac{\pi}{2z}} J_{\nu+\frac{1}{2}}(z)$ . The series converge pointwise with respect to x in (0, b] and uniformly with respect to  $\rho$  on any compact subset of the complex  $\rho$ -plane.

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Moreover, for  $M \in \mathbb{N}$  the functions

$$c_{\mathfrak{I}_N,M}^h(\rho,x) = \cos(\rho x) + \sum_{n=0}^M (-1)^n g_n(x) j_{2n}(\rho x),$$
$$s_{\mathfrak{I}_N,M}(\rho,x) = \frac{\sin(\rho x)}{\rho} + \frac{1}{\rho} \sum_{n=0}^M (-1)^n s_n(x) j_{2n+1}(\rho x),$$

obey the estimates

$$|c_{\mathfrak{I}_N}^h(\rho, x) - c_{\mathfrak{I}_N, M}^h(\rho, x)| \leq 2\epsilon_{2M}(x)\sqrt{\frac{\sinh(2bC)}{C}},$$
  
$$\rho s_{\mathfrak{I}_N}(\rho, x) - \rho s_{\mathfrak{I}_N, M}(\rho, x)| \leq 2\epsilon_{2M+1}(x)\sqrt{\frac{\sinh(2bC)}{C}},$$

for any  $\rho \in \mathbb{C}$  belonging to the strip  $|\operatorname{Im} \rho| \leq C$ , C > 0, and where  $\epsilon_M(x) = \|K^h_{\mathfrak{I}_N}(x, \cdot) - K^h_{\mathfrak{I}_N, 2M}(x, \cdot)\|_{L_2(-x,x)}$ .

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- Similar representations can be obtained for the solutions  $\psi^H_{\Im_N}(\rho, x)$  and  $\vartheta_{\Im_N}(\rho, x)$  of (1) satisfying the conditions

$$\psi_{\mathfrak{I}_N}^H(\rho, b) = 1, \quad (\psi_{\mathfrak{I}_N}^H)'(\rho, b) = -H, \\ \vartheta_{\mathfrak{I}_N}(\rho, b) = 0, \quad \vartheta_{\mathfrak{I}_N}'(\rho, b) = 1.$$

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- Similar representations can be obtained for the solutions  $\psi^H_{\Im_N}(\rho, x)$  and  $\vartheta_{\Im_N}(\rho, x)$  of (1) satisfying the conditions

$$\begin{split} \psi_{\mathfrak{I}_N}^H(\rho, b) &= 1, \quad (\psi_{\mathfrak{I}_N}^H)'(\rho, b) = -H, \\ \vartheta_{\mathfrak{I}_N}(\rho, b) &= 0, \quad \vartheta_{\mathfrak{I}_N}'(\rho, b) = 1. \end{split}$$

• The following relations hold

$$g_0(x) = c^h_{\mathfrak{I}_N}(0, x) - 1, \quad s_0(x) = 3\left(\frac{s_{\mathfrak{I}_N}(0, x)}{x} - 1\right).$$

# What's next?

 Solution of direct spectral problems. For example, the solution of the Sturm-Liouville problem with the Dirichlet-to-Dirichlet conditions is reduced to compute the zeros of the characteristic function

$$0 = \rho s_{\mathfrak{I}_N}(\rho, b) = \sin(\rho b) + \sum_{n=0}^{\infty} (-1)^n s_n(b) j_{2n+1}(\rho b)$$

<sup>3</sup>Similar to the procedure used in the regular case V. V. KRAVCHENKO, L.J. NAVARRO, S.M. TORBA, Representation of solutions to the one-dimensional Schrödinger equation in terms of Neumann series of Bessel functions. Appl. Math. Comput. 314(1) (2017) 173-192.

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• The coefficientes  $\{\alpha_n(x)\}_{n=0}^\infty$  can be computed by a recursive integration procedure  $^3$ 

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- Practical solution of inverse problems
  - Gelfand-Levitan equation (eigenvalues+normalizing constants) Substitution of the Fourier-Legendre series of the integral kernel reduces the problem to solve a linear system of algebraic equations where the unknowns are the coefficientes {α<sub>n</sub>}.

<sup>4</sup>We follow the ideas presented in V. V. KRAVCHENKO, *Spectrum* completion and inverse Sturm-Liouville problems. Mathematical Methods in the Applied Sciences, 2023, v. 46, issue 5, 5821–5835. doi:10.1002/mma.8869 and solve the statemeter of the

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### Practical solution of inverse problems

- Gelfand-Levitan equation (eigenvalues+normalizing constants) Substitution of the Fourier-Legendre series of the integral kernel reduces the problem to solve a linear system of algebraic equations where the unknowns are the coefficientes {α<sub>n</sub>}.
- Problems with 2 spectrums For example, let  $\{\mu_k^2\}_{k=1}^{\infty}$  and  $\{\rho_k^2\}_{k=1}^{\infty}$  be the spectums of problems with D-D and D-N conditions. The problem can be reduced to solve a system of the form

$$s_{\mathfrak{I}_N}(\rho_k, x) = \beta_k \psi^0_{\mathfrak{I}_N}(\rho_k, x),$$

where  $\beta_k$  are known and the unknowns are the Fourier-Legendre coefficientes of the NSBF series of  $s_{\Im_N}$  and  $\psi_{\Im_N}^{0-4}$ .

<sup>4</sup>We follow the ideas presented in V. V. KRAVCHENKO, *Spectrum completion and inverse Sturm–Liouville problems*. Mathematical Methods in the Applied Sciences, 2023, v. 46, issue 5, 5821–5835. doi:10.1002/mma.8869

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- The potential q can be recovered from  $g_0$  and  $s_0$  on each interval  $(x_k, x_{k+1})$ .
- The function  $\sigma=\int_0^x q(s)ds+\sigma_{\Im_N}$  can be recovered from  $f=g_0+1$  by the relation

$$\sigma(x) = \frac{f'(x)}{f(x)} + \int_{x_0}^x \left(\frac{f'(t)}{f(t)}\right)^2 dt.$$

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### The results of this talk are found in

V. V. KRAVCHENKO, V. A. VICENTE-BENÍTEZ, Schrödinger equation with finitely many  $\delta$ -interactions: closed form, integral and series representations for the solutions, Anal. Math. Phys. 14, 97 (2024) https://doi.org/10.1007/s13324-024-00957-4



Xquixhe pe' laatu! (Gracias por su atención)

#### email: va.vicentebenitez@im.unam.mx